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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/796,955
Filing Date	March 10, 2004
First Named Inventor	Richard M. Manderscheid
Art Unit	2883
Examiner Name	Jerry M. Blevins
Total Number of Pages in This Submission	43
Attorney Docket Number	139178

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard
<input type="checkbox"/> Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Alcatel		
Signature			
Printed name	Bobby D. Slaton		
Date	7/13/05	Reg. No.	43,130

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Signature	
Typed or printed name	Melanie Murdock
Date	July 14, 2005

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Serial No.: 10/796,955
Examiner: Jerry M. Blevins

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

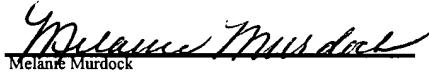
Applicant(s): Richard M. Manderscheid Docket: 139178
Serial No.: 10/796,955 Art Unit: 2883
Filed: March 10, 2004 Examiner: Jerry M. Blevins
Title: Monolithically Integrated Optical Triplexer and Method for Making Same

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Certificate Under 37 C.F.R. §1.8(a)

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Melanie Murdock

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This Information Disclosure statement is being submitted:

- 1) within three months of the filing date
- 2) within three months of the date of entry of the national phase
- 3) before the mailing date of a first Office Action on the merits
- 4) before the mailing date of either a Final Action or a Notice of Allowance and is accompanied by
 - a) the fee of \$ _____ set forth in 37 CFR 1.17(p).
 - b) the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

- 1) Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

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- 2) To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a) (3) for a concise explanation of the relevance is satisfied by
- 1) an English language version or translation of the foreign patent office report citing the documents.
- 2) the following remarks.
- 3) the discussion of these documents in the specification.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ALCATEL

Bobby D. Slaton
Reg. No. 43,130

Dated: 7/13/05
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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/796,955
				Filing Date	March 10, 2004
				First Named Inventor	Richard M. Manderscheid
				Art Unit	2883
				Examiner Name	Jerry M. Blevins
				Attorney Docket Number	139178
Sheet	1	of	1		

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	D	GB 2378069 A	01/29/2003	Bookham Technology		
	E	WO 2005/013517 A2	02/10/2005	Dense-Light Semiconduct		
	F	JP 11 330532 A	11/30/1999	Seiko Epson		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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